

## Status of Audit Resolution

December 2002

Agency: 540

### Employment Security Department

Audit Number	Finding Number
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2001F	04	Finding:	The Employment Security Department did not comply with regulations for allowable and allocable costs for six of its federal programs.
		Resolution/Status:	The Department does not concur with the finding. However, it has taken the following action: <ul style="list-style-type: none"><li>• Modified time charging methodologies to be in compliance with federal cost principles, and</li><li>• Implemented procedures for ongoing monitoring.</li></ul> The Department is currently: <ul style="list-style-type: none"><li>• Working with the U. S. Department of Labor to resolve questioned costs.</li></ul>
		Agency Contact:	Victoria DeBoer, Internal Auditor Employment Security Department MS 46000 Olympia, WA 98504-6000 (360) 438-4854 Vdeboer@esd.wa.gov

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2001F	05	Finding:	The Employment Security Department is not complying with client eligibility requirements for the Unemployment Insurance Program.
		Resolution/Status:	The Department partially concurs with the finding and has taken the following action: <ul style="list-style-type: none"><li>• Implemented new procedures to identify and investigate potential fraud overpayments,</li><li>• Trained staff on the new procedures, and</li><li>• Updated the computer programs utilized in the benefit cross-match process to ensure all benefit payments are included.</li></ul>
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2001F	06	Finding:	The Employment Security Department did not comply with cash management regulations for three federal programs.
		Resolution/Status:	<p>The Department concurs with the finding. Prior to the audit, the Department had already identified the problem and taken corrective measures. Corrective action taken is as follows:</p> <ul style="list-style-type: none"><li>• Trained the employee, once again, on the proper procedures to follow in performing her duties,</li><li>• Implemented more frequent reconciliations,</li><li>• Identified and returned all incorrect draws, and</li><li>• Determined there is no federal liability for the draws that were made in advance of expenditures.</li></ul>

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2001F	07	Finding:	The Employment Security Department did not comply with performance reporting requirements for the Trade Adjustment Assistance program.
		Resolution/Status:	<p>The Department concurs with the finding and has taken the following corrective action:</p> <ul style="list-style-type: none"><li>• Corrected the computer program,</li><li>• Submitted corrected reports to the U.S. Department of Labor.</li></ul>

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